EMTHANJENI MUNICIPALITY



Performance Agreement for the remainder of the financial year 1 April 2021 - 30

June 2021

SENIOR MANAGER: INFRASTRUCTURE SERVICES

Performance agreement made and entered into by and between

The Emthanjeni Municipality and represented by the Municipal Manager (herein and after referred as Employer)

and

Lelethu Thiso, the Senior Manager Infrastructure Services (herein and after referred as Employee) for the period 1 April 2021 to 30 June 2021

Where as

- a. The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred as "the Parties";
- b. Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual performance agreement;
- c. The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will promote local government goals; and
- d. The Parties wish to ensure that there is compliance with Sections 57(4B) and 57(5) of the Systems Act.

1. INTERPRETATION

- 1.1 In this Agreement the followings terms will have the meaning ascribed thereto:
 - "this Agreement" means the performance agreement between the 1.1.1 Employer and the employee and the Annexures thereto;
 - 1.1.2 "the Executive Authority" means the Executive Committee of the Municipality constituted in terms of Section 42(1) of the Local Government: Municipal Structures Act 117 of 1998 ("the Structures Act") as represented by its chairperson, the Mayor;
 - 1.1.3 "the Employee" means the Director appointed in terms of Section 56 of the Systems Act;
 - 1.1.4 "the Employer" means the Municipality; and
 - 1.1.5 "the Parties" means the Employer and Employee.

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2. PURPOSE OF THIS AGREEMENT

- 2.1 To comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act as well as the Contract of Employment entered into between the Parties;
- 2.2 To specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance targets and accountabilities;
- 2.3 To specify accountabilities as set out in the Performance Plan (Annexure A);
- 2.4 To monitor and measure performance against set targeted outputs and outcomes;
- 2.5 To establish a transparent and accountable working relationship;
- 2.6 To appropriately reward the employee in accordance with section 11 of this agreement; and
- 2.7 To give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 1 April 2021 and will remain in force until 30 June 2021 where-after a new Performance Agreement shall be concluded between the parties for the next financial year or any portion thereof;
- 3.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31st of July of the succeeding financial year;
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason;
- 3.4 If at any time during the validity of the agreement the work environment alters to the extent that the contents of the agreement are no longer appropriate, the contents must by mutual agreement between the parties, immediately be revised; and
- 3.5 Any significant amendments or deviations must take cognizance of the requirements of sections 34 and 42 of the Municipal Systems Act and Regulation 4(5) of the Regulations.

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4. PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan (Annexure A) sets out -
 - 4.1.1 The performance objectives and targets that must be met by the Employee;
 - 4.1.2 The timeframes within which those performance objectives and targets must be met; and
 - 4.1.3 The competencies (Annexure B definitions in terms of Regulation 21 of 17 January 2014) required to operate effectively as senior managers in the local government environment.
- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:
 - 4.2.1 Key objectives that describe the main tasks that need to be done;
 - 4.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved by the employee;
 - 4.2.3 Target dates that describe the timeframe in which the targets must be achieved; and
 - 4.2.4 Weightings showing the relative importance of the key objectives to each other.
- 4.3 The Personal Development Plan (Annexure C) sets out the Employee's personal development requirements in line with the objectives and targets of the Employer; and
- 4.4 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system that the Employer adopted for the employees of the Employer;
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employees and service providers to perform to the standards required:

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- 5.3 The Employer must consult the Employee about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;
- 5.4 The Employee undertakes to actively focus on the promotion and implementation of the key performance indicators (including special projects relevant to the employee's responsibilities) within the local government framework;
- 5.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, Operational Performance and Competencies both of which shall be contained in the Performance Agreement;
- The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee;
- 5.7 The Competencies will make up the other 20% of the Employee's assessment score. The Competencies are spilt into two groups, leading competencies (indicated in blue on the graph below) that drive strategic intent and direction and core competencies (indicated in green on the graph below), which drive the execution of the leading competencies.

6. PERFORMANCE ASSESSMENT

- 6.1 The Performance Plan (Annexure A) to this Agreement sets out key performance indicators and competencies that needs to be evaluated in terms of
 - 6.1.1 The standards and procedures for evaluating the Employee's performance; and
 - 6.1.2 During the intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 6.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;

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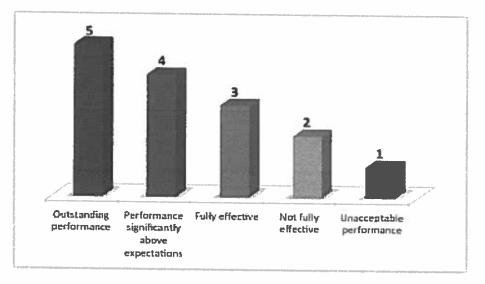
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- 6.4 The Employee's performance will also be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP) as described in 6.6 - 6.13 below;
- 6.5 The Employee will submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 6.6 Assessment of the achievement of results as outlined in the performance plan:
 - Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met (qualitative and quantitative) and with due regard to adhoc tasks that had to be performed under the KPI;
 - 6.6.2 A rating on the five-point scale described in 6.9 below shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
 - 6.6.3 The Employee could submit his self-evaluation to the Employer prior to the formal assessment;
 - An overall score will be calculated based on the total of the 6.6.4 individual scores calculated above.
- 6.7 Assessment of the Competencies:
 - Each Competency will be assessed in terms of the descriptions provided (Annexure B) during the mid-year and year-end reviews;
 - 6.7.2 A rating on the five-point scale described in 6.10 below shall be provided for each Competency which will then be multiplied by the weighting to calculate the final score; and
 - 6.7.3 An overall score will be calculated based on the total of the individual scores calculated above.
- Overall rating 6.8
 - 6.8.1 An overall rating is calculated by adding the overall scores as calculated in 6.6.4 and 6.7.3 above; and
 - 6.8.2 Such overall rating represents the outcome of the performance appraisal.
- 6.9 The assessment of the performance of the Employee will be based on the following rating scale for KPIs:

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Terminology	Description
Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

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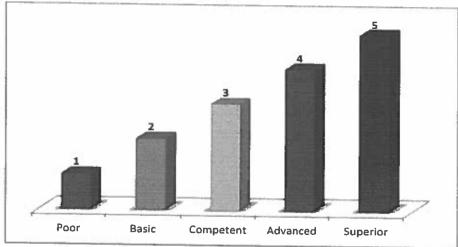
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6.10 The assessment of the competencies will be based on the following rating scale:



Achievement Level	Description
Poor	Do not apply the basic concepts and methods to proof a basic understanding of local government operations and requires extensive supervision and development interventions.
Basic	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention.
Сотретелт	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analysis.
Advanced	Develops and applies complex concepts, methods and understanding. Effectively directs and leads a group and executes in-depth analysis.
Superior	Has a comprehensive understanding of local government operations, critical in strategic shaping strategic direction and change, develops and applies comprehensive concepts and methods.

- 6.11 For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons will be established
 - 6.11.1 Municipal Manager;
 - 6.11.2 Municipal Manager from another municipality;
 - 6.11.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee; and
 - 6.11.4 The Member of the Executive Committee.

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- 6.12 The Municipal Manager will evaluate the performance of the Employee as at the end of the 1st and 3rd quarters and document a summary of the discussions; and
- 6.13 The Municipal Manager will give performance feedback to the Employee within five (5) working days after each quarterly and annual assessment meetings.

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his performance agreement shall be reviewed for the following quarters with the understanding that the reviews for the first and the third quarter may be verbal if performance is satisfactory:

Quarter	Months	<u> </u>
4	April - June	

- 7.2 The Employer shall keep a record of the year-end assessment meetings;
- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance;
- 7.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure A from time to time for operational reasons. The Employee will be fully consulted before any such change is made; and
- 7.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure C. Such Plan may be implemented and/or amended as the case may be after the each assessment. In that case, the Employee will be fully consulted before any such change or plan is made.

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9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall-
 - 9.1.1 Create an enabling environment to facilitate effective performance by the employee;
 - 9.1.2 Provide access to skills development and capacity building opportunities;
 - 9.1.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
 - 9.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
 - 9.1.5 Make available to the Employee such resources as the Employee may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of its powers will have amongst others-
 - 10.1.1 A direct effect on the performance of any of the Employee's functions;
 - 10.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and
 - 10.1.3 A substantial financial effect on the Employer.
- 10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 12.1 as soon as is practicable to enable the Employee to take any necessary action with delay.

11. REWARD

11.1 The evaluation of the Employee's performance will form the basis for acknowledging outstanding performance or correcting unacceptable performance:

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- 11.2 The payment of the performance bonus is determined by the performance score obtained during the 4th quarter;
- 11.3 The performance bonus will be awarded pro-rata according to the period of this agreement based on the following scheme:

Performance Rating		Bonus Calculation
0% - 64%	Poor Performance	0% of total package
65% - 69%	Average Performance	5% of total package
70% - 74%	Fair Performance	9% of total package
75% - 79%	Good Performance	11% of total package
80% - 100%	Excellent Performance	14% of total package

- 11.4 In the event of the Employee terminating his services during the validity period of this Agreement, but only after three months after the start of this agreement's inception date, the Employee's performance will be evaluated for the period during which he/she was employed and he/she will be entitled to a pro-rata performance bonus based on his/her evaluated performance for the period of actual service; and
- 11.5 The Employer will submit the total score of the annual assessment and of the Employee, to full Council for purposes of recommending the bonus allocation.

12. MANAGEMENT OF EVALUATION OUTCOMES

- 12.1 Where the Employer is, any time during the Employee's employment, not satisfied with the Employee's performance with respect to any matter dealt with in this Agreement, the Employer will give notice to the Employee to attend a meeting;
- 12.2 The Employee will have the opportunity at the meeting to satisfy the Employer of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures;
- 12.3 Where there is a dispute or difference as to the performance of the Employee under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and
- 12.4 In the case of unacceptable performance, the Employer shall -
 - 12.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and
 - 12.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to

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terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

13. DISPUTE RESOLUTION

- 13.1 Disputes will be dealt with in terms of Section 33 of the Local Government: Municipal Performance Regulations for Municipal Managers and managers directly accountable to Municipal Managers (Regulation 805 of August 2006).
- 13.2 Any disputes about the nature of the employee's performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or salary increment in the agreement, must be mediated by the executive mayor or mayor within thirty (30) days of receipt of a formal dispute from the employee whose decision shall be final and binding on both parties.
- 13.3 Any disputes about the outcome of the employee's performance evaluation, must be mediated by a member of the municipal council, provided that such member was not part of the evaluation panel, within thirty (30) days of receipt of a formal dispute from the employee whose decision shall be final and binding on both parties.

14. **GENERAL**

- 14.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer; and
- 14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

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Thus done and signed at DE MM/on the	he 2/5 day of April of 2021.
AS WITNESSES:	
1. 20 N H1560	MUNICIPAL MANAGER
Thus done and signed at on	the day of April 2021.
AS WITNESSES:	
1. Costas 2. All	SNR MANAGER

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Annexure A

The Performance Plan sets out:

- Key Pariormance Areas that the employee should focus on, performance objectives, key performance indicators and largets that must be met within a specific transframe, and
- The Competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014,

Performance should be evaluated:

- Quarterly of which the annual evaluation must be done by the panel as constituted in paragraph 6, 11 of the agreement,
 - Performance should be assessed on a scale of 1 5 as oullined in paragraphs 6.9 6.10 of the agreement. a
- c) In the instance where an indicator do not have a target or is not applicable due to valid reason or where the performence could not be delivered for a valid reason outside of the control of employee, the indicator will not be evaluated. The weighting will be cancelled and the score total will be re-calculated to calculate
- The employee must submit his/her assessment of his/her own performance to the employer three days prior to the assessment date.

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KEY PERFORMANCE INDICATORS

The key performance areas, the performance objectives, key performance indicators and targets that must be met within the agreed timalrame are described being.

			ū		
25	Basic Service Delivery	Manage and achieve 90% of the KPI's of the sub-division: Electro Technical Services	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report		E)
Subir	Basic Service Delivery	Manage and achieve 90% of the KPI's of the sub-division: Technical Services: Water	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	0.06	\$ ()
Scap	Basic Service Delivery	Manage and achieve 90% of the KPI's of the sub-division: Project Management Unit	90% of the KPI's of the sub directorate have been met as per ignite Dashboard report	808	
11.38	Lacal Economic Development	Create temporary jobs - FTE's in terms of EPWP by 30 June 2021 (Person days.) FTE (230 days.)	Number of FTE's created		(*)
\$	Basic Service Delivery	60% of the water maintenance budget spent by 30 June 2021 ((Actual expenditure divided by the approved budget)x100)	% of approved water maintenance budget spent by 30 June 2021 ((Actual expenditure divided by the approved budget)x100)	90 00%	r
The state of the s	Basic Service Delivery	Limit unaccounted for water to less than 22% by 30 June 2021 [[Alumber of Kilolitres Water Purchased or Purified - Number of Kilolitres Water Sold] / (Number of Kilolitres Water Sold) / (Number of Kilolitres Water Purchased or Purified) x 100]	% unaccounted water by 30 June 2021	22.00%	61
7	Basic Service Delivery	Achieve a 90% water quality quarterly as per SANS 241 requirements for all water sampling points	% water quality level	90 60%	C 8
1 ⁻ J	Basic Service Delivery	60% of the waste water maintenance budget spent by 30 June 2021 ((Actual expenditure divided by the approved budget)x100)	% of approved waste water maintenance budget spent by 30 June 2021 ([Actual expenditure divided by the approved budget)x100)	9,0000	
2	Basic Service Delivery	60% of the roads and stormwater	% of approved roads and stormwater	80.00	-

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2021 ((Actual expenditure divided by the approved budget)X100)	% of efectricity unaccounted for at 30 June 2021	% of approved recreational areas and swimming pool maintenance budget spent by 30 June 2021 ((Actual expenditure divided by the approved budget)X100)	% of approved electricity maintenance budget spent by 30 June 2021 ((Actual expenditure divided by the approved budget)x1001	s of approved budget spent	% of approved budget spent	of approved budget spent	% of approved budget spent	6 of Council resolutions implemented
((Actual expenditure divided by the approved budget)X100)	Limit % electricity unaccounted for to 18% by 30 June 2021 ((Number of Electricity Units Purchased - Number of Electricity Units Sold) / Number of Electricity Units Purchased) x 100]	60% of the recreational and swimming pool mainlenance budget spent by 30 June 2021 (Actual expenditure divided by the approved budget)X100)	60% of the electricity maintenance budget spent by 30 June 2021 ((Actual expenditure divided by the approved budget)x100)	70% of approved budget spent by 30 June 2021 for the electrification of 18 houses ('Actual expenditure divided by the total approved budget) x 100)	70% of approved budget spent by 30 June 2021 to install energy saving Lights ((Actual expenditure divided by the total approved budget) x 100)	40% of approved budget spent by 30 June 2021 for the acquisition of Fleet ((Actual expenditure divided by the total approved budget) x 100)	70% of approved budget spent by 30 June 2021 for the connection of 481 households to the sewer reticulation network in Britstown ((Actual expenditure divided by the total approved budget) x 100)	Implement Council resolutions within the
	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Good Governance and Public Participation
	**************************************		1	\$ ~~	71-19	love 100 100	hame Angel Angel TI TI TI TI TI TI TI TI TI TI TI TI TI	068

Good Governance and Public Participation	Liaise with line managers on a regular basis to ensure effective management of the directorate	Number of meetings with line managers	(~~)	.1
Good Covernance and Public Participation	Update the actual results of the targets set on the SDBtP system on a monthly basis before the set closing date	Number of monthly updates	es)	te:
Good Governance and Public Participation	Submit Clouthly reports to the MM	Number of reports submitted		en.
Good Governance and Public Participation	Quarterly submit a progress report to MIM on the corrective measures taken to address issues raised in management letter of the AG applicable to the Directorate	Number of reports submitted	-	
Good Governance and Public Participation	Submit a quarterly report on the performance of service providers to the CFO	Number of reports submitted		*3
Good Governance and Public Participation	Spend 100% of conditional grants applicable to directorate by 30 June	% of grant conditional grants spent	100.00%	4T
Good Governance and Fublic Participation	Bi-monthly visits to satellite offices to ensure administrative oversight	Number of visits	*****	77

Annexure A

COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of serior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure B describes the different achievement levels for each Competency and should therefore form part of this section of the Parformance Plan,

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	Provide and street a velocificities institution, and inspire and deploy others to definer on the strategic institutional mandale. If includes	
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The transfer of the terminant	• Institutional periormance management	00 6
	• Sital-go plant ng and mageneril	
	Olganizatonal auatomass	
	Effect by monage in providencourage people respect diversity, optimise telent and build and nutrice relationships in order to to high and office and mutrice relationships in order to to high some organizations.	
	Human caputal allowing and development	
Territoria	Directify managament	
	• Employee rate to an anagement	
,	Tregoveron and drapule menagement	
	Able to understand program and project management methodology, plan, manage, monter and evaluate, peorfic and evaluates, it includes	
היה היה היה בית פיניה בילה	• Pregram and project planting and implementation	150
	Servite coloren inanegenent	
	• Program and project mondering and evaluation	
	Able to compile plan and manage budgets, control cash flow, institute financial risk management and ear inster providence profession in occur. It is a with recognised financial processes Furdier to ensure that all financial reactions are managed in on the inner it is needed.	
าีกมาการจุดีสายกุ	a Buggst plansing and execution	111
	• Financial strategy and delivery	
	 Financial reporting and delivery 	
	Able to chect and initiate transformation on all levels in order to successfully drive and implement hew in fully established in containty. It includes:	
Christina in a	Change vision and strategy.	Ē
	■ Process design and improvement	
	. Changa impact man long and evaluation	

Annexure A

Go evance leadersnp	Able to promiste, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understringing of governance precises and obligations. Further, able to direct the conceptualisation of relevant policies and enhantly construction. • Risk and compliance management. • Chapterstrive governance.	F~ ()
	CORECON/FIENCIES	
fibre comparence	Atte to identify morel (riggers, apply reasoning that promotes honesty and integrity and display behaviour that roffeds manal compotence.	187
Plansing and organisms	Athe to plan promise and organise information and resources effectively to ensure the quality of cerviny and build efficient collogency nime manage insk	ro e
et afgets and ir novacen	ASE O citivally analyse information, challenges and trends to establish and implement fact-based solutions that are mount if to improve its informationarities to subjective its informational processes in order to achieve fay snatagic objectives.	£5
Modinedge and reformation management	Able to promate the generation and sharing of knowledge and information through various processes and milita, morder to enhance the collection knowledge base of local government.	in the
Commission	Able to share information, knowledge and ideas in a dear, focused and canase manner appropriate for the audience in order to effectuely convey percriste and influence stakehalders to othere the desired culcome.	50
तिरुद्धाः बद्धाः द्वाताम् श्ट्याः	Able to maintain high quality standards, focus on achieving results and chectives while consistency striking to excell expectations and annurage offices to meet quality standards. Further, to actively monitor and measur results and quality against identified observes.	3-
	TVLOL	50

Personal Development Plan

2020/21

Skals Performance Gep	Outcomes Expected	Suggested training and for development activity	Suggested mode of delivery	Suggested Time Frames	Work opportunity english to glassification subjects and another	100 mg
General orderstanding 1.0f KPAs	\$ 5	CHMD	All twelve(12) modules	Bythe end of awar	All twelve(12) By the end Broader including Funding for modules of aware Government Scholies	Friding for
Employee relations/ Management of 2-Disciplinary pracedum employee relations	Emplayee relations/ Management of 2015ciplinary pracedum emplayee relations	Labour relating Workshop Carse	-	By the and of	li ac	SALGA
SCN Legis lation	SCN Legis lation Unclerstand the SCM Training Worldshop	SCM Training	Worldshop	By the end of 2021	By the end Effective performent Treasury of 2021 in SCM procument Aprincial committee Deportment	Freguly Povincial Deportment

Signed and accepted by the Employee

April 23 Date:

Signed by the Municipal Manager on behalf of the Municipality

Date:

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Competency Framework

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CLUSTER:	LEADING COMPETENCIES					
ALAKS I	Strategic Direction and Leadership					
SO SIG IS ORD MICE	the same of the sa	Interior and danks, others to dellarge				
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate ACT EVENENT LEVELS					
	COMPETENT	ADVANCED				
Understand Institutional and departmental strategic objectives, but lacks the ability to inspire others to acrieve set mandate.	Give direction to a team in realising the institution's strategic mandate and set by crosses.	Evaluate all activities to determine value and abignment to strategic initent	Structure and position the institution to local government priorities.			
Describe how specific tasks link to intellutional strategies but has timited influence in directing a strategy	 Has a positive impact and influence on the morals, engagement and participation of team members 	 Display in-depth knowledge and understanding of strategic planning 	 Actively use in-depth knowledge and understanding to develop and unplement a comprehensive institutions framework 			
 Has a basic understanding of institutional performance management, but lacks the ability to integrate systems into a collective whole 	 Cevelop action plans to execute and guido strategy 	 Align strategy and goals across all functional aleas 	 Hold self-accountable for strategy oxocution and results 			
 Demonstrate basic understanding of key decision makers 	 Assist in defining performance measures to monitor the progress and effectiveness of the institution 	 Actively define performance measures In mondar the programs and effectiveness of the institution 	 Provide impact and influence through building and maintaining strategic relationships 			
	 Displays an awareness of institutional structures and political factors 	Consistently chaflenge strategic plans to disting refevance	 Create an environment that facilitates loyally and innovation. Display is superior level of self-displand and integrity in actions 			
	 Effectively communicate barners to execution to relevant parties 	 Understand institutional structures and political factors, and the consequences of actions 	 Integrate various systems into a collective whole to optimise institutional performance management 			
	Provide guidance to all stateholders in the achievement of the strategic mandate.	Empower others to follow the strategic direction and deal with complex situations	Uses understanding of competing interests to maneuver successfully to a windown outcome.			
	 Understand the aim and objectives of the institution and relate it to own work 	Guide the institution through complex and ambiguous concern Use understanding of power relationships and dynamic tensions				
		among key ptayers to frame communications and develop strategies positions and alliances				

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CLUSTER: COMPETENCY NAME. COMPETENCY DEFINITION :

LEADING COMPETENCIES

People Management

Effectively manage, inspire and encourage people respect diversity, optimise talent and build and nurture relationships in order to achieve

		institutional objectives			
		ACHIEV			
	BASIC	COMPETENT		ADVANCED	SUPERIOR
	Participate in learn goalseiling and problem solving	Selt opportunites to increase learn contribution and responsibility	۰	Identify ineffective learn and work processes and recommend remedial inferventions	 Develop and incorporate best practice people management processes approaches and tools across the institution
٠	Interact and collaborate with people of diverse backgrounds	 Respect and support the diverse nature of others and be aware of the benefits a diverse approach 		Recognise and reward effective and desired behavior	 Foster a culture of discipline, responsibility and accountability
٠	Aware of guidelines for employee dovolopment, but requires support in implementing development initiatives	 Effectively delegate tasks and empower others to increase contribution and execute functions optimally 	er •	Provide mentaining and guidance to others in order to increase personal effectiveness	 Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution
		 Apply relevant employee legislation laitly and consistently 	٠	dentify development and learning needs within the team	 Develop comprehensive integrated strategies and approaches to human capital development and management
		 Effectively identify capacity requirements to halfill the strategic inandale 	4	Inspire a culture of performance excellence by giving positive and constructive feedback to the team	 Actively identify trends and predict capacity requirements to facilitate unified transition and performance management
				Achieve agreement or consensus in adversarial environments	
			•	Lead and unite diverse teams across divisions to achieve institutional objectives	

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GLUSTER: COMPETENCY NAME: COMPETENCY DEFINITION :

LEADING COMPETENCIES

Program and Project Management

Able to understand program and project management methodology, plan manage monitor and evaluate specific activities in order to deliver on set

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	ACHE VENE	NT LEVELS	The state of the s
EASIC	COMPETENT	ABVANCED	SUPERIOR
Initiate projects after approval from higher authorities	 Establish broad staxeholder involvement and communicate the project status and key milestones 	 Manage multiple programs and balance priorities and conflicts according to institutional goals 	 Understand and conceptualise the long- term implications of desired project outcomes
Understand procedures of program and project management methodology implications and stakeholder involvement	 Define the rules and responsibilities of the project learn and create clarify around expectations 	 Apply effective risk management strategies through impact attacksment and rescurse requirements 	 Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutions objectives
Understand the rational of projects in relation to the institution's strategic objectives	 Find a balance between project deading and the quality of deliverables 	Modify project scope and budget when inquired without componizing the quality and objectives of the project.	 Influence people in positions of authority to implement outcomes of projects
Document and communicate factors and risk associated with own work	 Identify appropriate project resources to facilitate the effective completion of the deliverables 	 Involve top-level authorities and relevant stakeholders in seeking project buy in 	 Load and direct translation of pokey into workable action plans
Use results and approaches of successful project implementation as guide	 Comply with statutory requirements and apply policies in a consistent manner 	 Identify and apply contemporary project management methodology 	 Ensures that programs are monitored to track progress and optimal resource obtisation, and that adjustments are made as needed
	 Monitor progress and use of resources and make needed adjustments to limeEnes, steps and resource a location 	Influence and motivate project team to deliver exceptional results	
and the state of t		 Monitor policy implementation and apply procedures to manage risks 	

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CLUSTER -COMPETENCY NAME. COMPETENCY DEFINITION :

LEADING COMPETENCIES

Financial Management

Able to compile, plan and manage budgets, control cash flow institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manage.

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		ACHEVENENT LEVELS						
920	BASIC	COMPETENT	ADVANCED		SWPERMOR			
۰	Understand basic financial concepts and invitiods as they relate to institutional processes and activities	 Exhibit knowledge of general financial concepts, planning, budgeong and forecasting and how they interrelate 	budget and pro	tive ownership of planning, ing and forecasting processes vides credible answers to within own responsibility	٠	Covelor planning tools to assist in evaluating and monitoring future expenditure trends		
>	Outplay awareness into the various sources of financial data, reporting mechanisms financial governance processes and systems	 Assess, Identify and manage financial risks 	 Prepare 	budgets that are asgned to the coclectives of the institution	۰	Set budget frameworks for the institute		
٠	Understand the importance of financial accountability	 Assume a cost-saving approach to financial management 	Address Frunca	s complex budgeting and I management concerns	٠	Set strategic direction for the institution on expenditure and other financial processes		
*	Understand the importance of asset control	 Prepare financial reports based on apecified formats 	enhanci	tems and processes in place to a the quality and integrity of a management practices	•	Build and numbre partnerships to improve financial management and achieve financial savings		
		 Consider and understand the financial implications of decisions and suggestions 		on policies and procedures ng asset control	٠	Actively identify and implement new methods to improve asset control		
		 Ensure that delegation and instructions as required by National Treasury guidelines are remewed and updated 	Promote framework	n National Treasury's regulatory ork for Financial Management	٠	Display protessionalism in dealing with financial data and processes		
		 Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 						

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CLUSTER.
COMPETENCY NAME;
COMPETENCY DEFINITION:

LEADING COMPETENCIES

Change Leadership

Able to direct and in:tlate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community

ACHIEVEMENT LEVELS

- 6 -

Display an awareness of change interventions and the benefits of transformation initiatives

- Able to identify basic needs for change
- Identify gaps between the current and desired state
- Identify potential risk and challinges latransformation, including resistance to change factors
- Participate in change programs and jubing change interventions
- Understand the impact of change interventions on the institution within the broader scope of local government

- Perform an analysis of the change impaction the social political and economic environment.
- Maintain calm and focus during change
- Able to assist team members during change and keep them focused on the deliverables
- Volunteer to lead change efforts outside of own work leam
- Able to gain buy-in and approval for change from relevant stakeholders
- Identify change readiness levels and assist in resolving resistance to change factors
- Design change interventions that are aligned with the institution's strategic objectives and goals

- Actively monitor change impact and results and convey progress to relevant stakeholders
- Secure buy-in and sponsorship for change indiatives
- Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness
- Bråld and nurrura relationships with various stakeholders to establish strategic alliance in facilitating change
- Take the lead in impactful change programs
- Benchmark change interventions
 ogainst best change practices
- Understand the impact and psychology of change and put remodul interventions in place to facilitate effective transformation
- Take calculated risk and seek new ideas from best practice scenarios and identify the potential for implementation

SUPERIOR

- Sponsor change agents and create a network of change leaders who support the interventions
- Actively adapt current structures and processes to incorporate the change interventions
- Mentor and guide learn members on the offects of change, resistance factors and how to integrate change.
- Motivate and inspire others around change initiatives

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COMPETENCY HAVE

LEADING COMPETENCIES

Governance Laudership

Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships COMPETENCY DEFINITION: BASIC ADVANCED SUPERIOR Display a thorough understanding of governance and risk and compliance Able to link risk initiatives into key institutional objectives and drivers Display a basic awareness of risk. Demonstrate a high level of commitment compliance and governance factors but as complying with governance require guidance and development in implementing such requirements factors and implement plans to address requirements. (hasa Demonstrate understanding of the techniques and processes for optimising Understand the structure of cooperative identify, analyse and measure ask, create valid risk forecasts and map risk implement governance and compliance strategy to ensure activevement of government but requires guidance on fostering workable relationships risk falung decisions within the institution profiles institutional objectives within the legislative framework between stakeholders Actively drive policy formulation within the institution to ensure the achievement Provide input into policy formulation Apply risk control methodology and Able to advise local government on risk management, basi practice interventions approaches to prevent and reduce risk that impede on the achievement of of objectives and compliance management institutional objectives Demonstrate a thorough understanding Able to large positive relationships on cooperative governance level to enhance the effectiveness of local of risk retention plans Able to shape, direct and drive the formulation of policies on a macro level identify and implement comprehensive itsk management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide

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recommendations for improvement

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CLUSTER:	CORE COMPETENCIES						
CHPETENCY HAME:	Moral Competence Able to identify moral triggers, apply reasoning that promotes honesty and integrity and consistently display behavior that reflects moral competence						
OMPETENCY DEFINITION (
	ACH EVENE	TLEVELS	apply beliavior that reflects moral competen				
BASIC	COMPETENT	ADVANCED	SUPERIOR				
 Realise the impact of acting with integrity, but requires guidance and development in implementing principles 	 Conduct self in alignment with the values of local government and the institution 	 Identify, develop and apply measures of self-correction 	Create an environment conductive of moral procitices				
 Follow basic rules and regulations of the institution 	 Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to dol ver 	Able to gain trust and respect through aligning actions with commitments	 Actively develop and implement measures to combat fraud and compution 				
 Able to Identify basic moral situations but requires guidance and development in understanding and reasoning with moral intent 	 Actively report transluteril activity and corruption with local government 	Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders	Set Integrity standards and shared accountablity measures across the institution to support the objectives o local government.				
	 Understand and honor the confidential nature of matters without seeking personal gain 	 Present values, beliefs and ideas that are congruent with the institution's rules and regulations 	 Fake responsibility for own actions as decisions, even if the consequences unfavorable 				
	 Able to deal with a trialions of conflict of interest promptly and in the best interest of local government 	Takes an active stance against corruption and dishonesty when noted					
		 Actively promote the value of the institution to internal and external stakeholders 					
		 Able to work in unity with a learn and not seek personal gain 					
		Apply universal moral principles consistently to achieve moral decisions					

CLUSTER: COMPETENCY NAME:

COMPETENCY DEFINITION :

CORE COMPETENCIES

Planning and Organising

Able to plan, prioritise and organise information and rescurces effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk

160		ACHIEVENES		
THE.	EAS C	COMPETENT	ADVANCED	SUPERIOR
10	Able to follow basic plans and organise tasks around set objectives	Actively and appropriately organize Information and resources required for a task	 Abte to define institutional objectives, develop comprohensive plans, integrate and coordinate activities and assign appropriate resources for successful implementation. 	 Focus on broad strategies and initiatives when developing plans and actions
•	Understand the process of planning and organising bul requires guidance and development in providing detailed and comprehensive plans.	 Recognise the urgency and importance of lasks 	 Identify in advance required stages and actions to complete tasks 	 Able to protect and largest short, medium and long term requirements of the institution and local government
٠	Able to follow existing plans and ensure that objectives are met	Balance short and long-term plans and goals and incorporate into the team's performance objectives	Schedule realistic timelines, objectives and milestones for tasks and projects	 Translate policy into relevant projects to facilitate the achievement of institutional objectives
٠	Focus on short-lerm objectives in developing plans and actions	 Schedule tasks to ensure they are performed within budget and with efficient use of time and rescurces 	Produce clear, detailed and comprehensive plans to achieve institutional objectives	-,,
	Arrange information and resources required for a task, but require further structure and organisation	 Measures progress and moreter performance results 	 Identify possible risk factors and design and implement appropriate contingency plans 	
			Adapt plans in light of changing circumstances	
			Prioritise tasks and projects according to their relevant urgency and importance	

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J. 15

CLUSTER.

COMPETENCY NAME:

COMPETENCY DEFINITION:

CORE COMPETENCIES

Analysis and innovation

Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.

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	BALC		COMPETENT		ADVANCED		SUPERIOR
۰	Understand the basic operation of analysis, but lack detail and thoroughness		Demonstrate logical problem solving techniques and approaches and provide rationale for recommendations		Coaches learn members on analytical and innovative approaches and lechniques	۰	Demonstrate complex analytical and problem solving approaches and techniques
٠	Able to balance independent analysis with requesting assistance from others	*	Demonstrate objectivity insight and illumoughness when analysing problems	٠	Engage with appropriate individuals in analysing and resolving complex problems	•	Create on environment conductive to analytical and fact-based problem softing
٠	Recommend new ways to perform tasks within own function.	5	Able to break down complex problems into manageable parts and identify solutions.	٠	Identify solutions on various areas in the analytichen		Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence
0	Propose simple remedial interventions that marginally challenges the status que	*	Consult internal and external stakeholders on opportunities to improve processes and service delivery		Formulate and implement new ideas throughout the institution	۰	Create an environment that fosters innovative thinking and lo3ows a learning organisation approach
	Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking	٠	Clearly communicate the benefits of new opportunities and innovative solutions and stakeholders	٠	Able to gain approval and buy in for proposed interventions from relevant stakeholders	٠	Be a thought leader on innovative customer service de ivery and process optimisation
		5	Continuously identify opportunities to enhance internal processes	٠	dentity kends and best practices in process and service delivery and propose institutional appication	0	Play an active role in sharing best practice solutions and engage in nabonal and internabonal local government reminars and conferences.
		*	identify and analyse opportunities conductive to unnovative approaches and DTO256 remedial intervention	٠	Continuously engage in research to dentify client needs		

CLUSTER: COMPETENCY NAME:	CORE COMPETENCIES Knowledge and Information	CORE COMPETENCIES Knowledge and Information Management Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective know edge base of local government				
CONPETENCY DEFINITION:	Attle to promote the generat					
	ACHIEVEME					
EASIC	COMPETENT	ADVANCED	SUPERIOR			
 Collect, categorise and track retevant information required for specific tasks and projects 	Use appropriate information systems and technology to manage institutional knowledge and information sharing	Effectively predict future information and knowledge management requirements and systems	Create and support a vision and culture where learn members are empowered to seek, gain and share knowledge and information.			
 Analyse and interpret information to draw conclusions 	 Evaluate data from various sources and use information effectively to influence ifections and provide solutions 	 Develop standards and processes to meet future knowledge management meds 	 Establish partnerships across local government to facilitate knowledge management 			
 Seek new sources of information to increase the knowledge base 	Actively create mechanisms and structures for sharing information	 Share and promote best-practice nowledge management across various institutions 	Demonstrate a mature approach			
 Regularly share information and knowledge with internal stakeholders and team memburs 	 Use external and Internal resources to research and provide relevant and cutting edge knowledge to enhance institutional effectiveness and efficiency 	 Establish accurate measures and monitoring systems for knowledge and information management 	 Recognise and exploit knowledge points in interactions with internal and external stakeholders 			
		 Create a culture conductive of learning and knowledge sharing 				
		 Hold regular fundwiedge and information thanng sessions to elicit new ideas and share best practice approaches 				

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C.USTER COMPETENCY NAME: CORE COMPETENCIES

Communication

Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome COMPETENCY DEFINITION ACHIEVEMENT LEVELS BASC COMPETENT SUFERIOR Cemonstrate an understanding for Express ideas to individuals and groups Effectively communicate high-risk and communication levers and tools Regarded as a specialist in negotiations and representing the institution in formal and informal settings in a appropriate for the audience, but consitive matters to relevant manner that is interesting and motivating stakeholders requires guidance in utilising such tools Express ideas in a clear and focused Able to understand, tolerate and Able to inspire and motivate others Develop a well-defined communication manner, but does not always take the nuclience into consideration appreciate diverse perspectives. through positive communication that is allitudes and beliefs impactful and relevant. Creates an environment conducive to Adapt communication content and style Dissemnate and convey information Balance political perspectives with transparent and productive communication and entical appreciate to suit the audience and facilitate institutional needs when communicating and knowledge adequately optimal information transfer viewpoints on complex issues Conversations Onliver content in a manner that gains support, commitment and agreement Able to coordinate regolations at Able to effectively direct negotations different levels within local government around complex from refevant stakeholders and externally Market and promote the institution to Compile clear focused, concise and well-structured written documents external stakeholders and seek to enhance a positive image of the institution Able to communicate with the media with high levels of moral competence and discipline

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CLUSTER:

COMPETENCY HAME: COMPETENCY DEFINITION: CORE COMPETENCIES

Results and Quality Focus

Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives

ACHIEVENENT LEVELS D.SE COMPETENT SUPERDOR Focus on high-priority actions and does not become distracted by lower-priority Consistency verify own standards and ou comes to ensure quality output Understand quality of work but requires Edach and guide others to exceed guidance in attending to important quality standards and results activities Show a basic commitment to achieving Display firm commitment and pride in Focus on the end result and avoids Develop challenging, client-focused goals and sels high standards for the correct results activeling the correct results being distracted personal performance Produce the minimum level of results Set quality standards and design Demonstrate a determined and Commit to exceed the results and processes and tasks around achieving required in the role ocinimated approach to achieving results quality standards, monitor own performance and implement remedial set standards and quality standards interventions when required Produce outcomes that is of a good Produce output of high quality Follow task and projects through to Work with learn to set ambitious and standard completion challenging team goals communicating long- and short term expectations Focus on the quantity of output but Able to balance the quantity and quality Set challenging goals and objectives to self and team and display commitment Take appropriate risks to accomplish and quality of results in order to achieve requires development in incorporating the quality of work goals to achieving expectations. Monitors progress, quality of work and use of resources, provide status updates Produce quality work in general excumstances, but fails to meet Maintain a focus on quality outputs Overcome setbacks and adjust action plans to realise goals when placed under pressure expectation when under pressure and make adjustments as needed Establishing Institutional systems for Focus people on orbital activities that managing and assigning work, defining yield a high impact responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution

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